

FORM NL-12 & 12A -INVESTMENT SCHEDULE

	Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
		As At 31st December,2021	As At 31st December,2020	As at 31st December,2021	As At 31st December,2020	As at 31st December,2021	As At 31st December,2020
	LONG TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	32,507	14,060	1,04,064	1,11,816	1,36,571	1,25,876
2	Other Approved Securities	324	54	1,037	429	1,361	483
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	13,774	8,963	44,094	71,280	57,867	80,243
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	16,162	7,812	51,739	62,123	67,901	69,935
5	Other than Approved Investments	-	22	-	178	-	200
6	Investments in Alternate Investment Fund	122	-	391	-	-	-
		62,889	30,912	2,01,325	2,45,826	2,64,214	2,76,737
	SHORT TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	4,917	1,350	15,741	10,736	20,659	12,086
2	Other Approved Securities	-	-	-	-	-	-
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	1,095	369	3,507	2,938	4,602	3,307
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	119	-	947	-	1,067
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	9,752	1,961	31,218	15,597	40,970	17,559
	(e) Other Securities (to be specified)	2,790	1,234	8,933	9,815	11,723	11,049
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	3,928	1,273	12,575	10,123	16,503	11,396
5	Other than Approved Investments	-	32	-	254	-	286
	(a) Shares : Equity	21	-	-	67	-	-
	(b) Mutual Fund	84	-	-	270	-	-
	TOTAL	22,588	6,339	72,311	50,410	94,899	56,749
	GRNAD TOTAL	85,477	37,251	2,73,636	2,96,236	3,59,113	3,33,486

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.

- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

	Particulars	Shareholders		Policyholders		(Amount in Rs. Lakhs)	
		Total		Total		Total	
		As at 31st December,2021	As At 31st December,2020	As at 31st December,2021	As At 31st December,2020	As at 31st December,2021	As At 31st December,2020
	Long Term Investments--						
	Book Value	62,885	30,912	2,01,315	2,45,826	2,64,200.44	2,76,737.40
	market Value	63,563	32,541	2,03,486	2,58,786	2,67,049.40	2,91,327.37
	Short Term Investments--						
	Book Value	21,462	5,944	68,706	47,269	90,167.94	53,212.45
	market Value	21,679	6,028	69,400	47,939	91,078.27	53,967.63